

The DTU21 Global Mean Sea Surface and First Evaluation

1 **Ole Baltazar Andersen¹, S. K. Rose¹, A. Abulaitijiang², S. Zhang³, S. Fleury⁴**

2 ¹DTU space, National Space Institute, Elektrovej 327/328, DK-2800 Kongens Lyngby, Denmark.

3 ²University of Bonn, Institute of Geodesy and Geoinformation Nussallee 17, D-53115 Bonn,
4 Germany

5 ³School of Resources and Civil Engineering, Northeastern University, Shenyang, China

6 ⁴LEGOS, Observatoire Midi-Pyrénées 14, avenue Édouard Belin 31400, Toulouse, France

7

8 *** Correspondence:**

9 Ole Baltazar Andersen

10 oa@space.dtu.dk

11 **Keywords: altimetry, mean sea surface, retracking, geodetic mission, long repeat orbit.**

12 **Abstract**

13 A new Mean Sea Surface (MSS) called DTU21MSS for referencing sea level anomalies from satellite
14 altimetry is introduced in this paper and a suite of evaluations are performed. One of the reasons for
15 releasing an updated Mean Sea Surface is the fact, that during the last 6 years nearly three times as
16 many data have been made available by the space agencies, resulting in more than 15 years of altimetry
17 from Long Repeat Orbits or Geodetic Missions. This includes the two interleaved long repeat cycles
18 of Jason-2 with a systematic cross-track distance as low as 4 km.

19

20 A new processing chain with updated filtering and editing has been implemented for DTU21MSS. This
21 way, the DTU21MSS has been computed from 2Hz altimetry in contrast to the DTU15MSS which was
22 computed from 1 Hz altimetry. The new DTU21MSS is computed over the same 20-year averaging
23 time from 1993.01.01 to 2012.12.31 with a center time of 2003.01.01.

24

25 Cryosat-2 employs SAR and SARin modes in large part of the Arctic Ocean due to the presence of sea
26 ice. For SAR and SARin mode data we applied the SAMOSA+ physical retracking (Dinardo et
27 al., 2018) in order to make it compatible with the physical retracker used for conventional Low
28 Resolution Mode data in other parts of the ocean.

29

30 **1 Introduction**

31

32 Satellite altimetry provides highly accurate measurement of the ocean topography along the ground
33 tracks of the satellite (Fu and Cazenave, 2001; Stammer and Cazenave, 2019). For oceanography, the
34 anomalous sea level about a mean reference surface is of primary interest. During the last two decades,
35 Mean Sea surface as a reference surface has been developed with increasing accuracy (Pujol et al.,
36 2018). Sea level observations contain information on all timescales.

37 To develop a Mean Sea Surface (MSS) it would be optimal if observations were available on all time
 38 and spatial scales. The challenge is to derive an MSS given limited sampling in both time and space
 39 using satellite observations. Another challenge is to merge repeated observations along coarse ground
 40 tracks with high spatial data from the geodetic mission (GM).
 41

42 Thanks to new altimeter instruments and processing technology the accuracy of Sea Surface Height
 43 (SSH) have increased dramatically over the last decade. It is important for deriving the Sea Level
 44 Anomalies (SLA), that the reference or MSS is as accurate as the SSH in order to investigate smaller
 45 mesoscale features (e.g., Dufau et al., 2016).
 46

47 The paper is structured in the following way. Chapter 2 presents the details of the derivation of the new
 48 DTU21MSS with focus on the improvement in data, retracking, processing and filtering. The chapter
 49 is concluded with a subsection on the potential use of Sentinel-3A for the DTU21MSS. Chapter 3
 50 highlights various initial comparison ranging from an initial global comparison to Arctic and coastal
 51 comparisons to illustrate the various improvement in the MSS models.
 52

53 2 Computation of the DTU21MSS

54
 55 The DTU21MSS is based on satellite altimetry data from frequently repeating Exact Repeat Missions
 56 (ERM) and in-frequently repeating missions with long repeat – called Geodetic Mission (GM). The
 57 MSS is determined from a sophisticated combination of the coarse ERM with the high-density GM
 58 data as described in Andersen and Knudsen (2008).

59 The first step is to select the averaging period and consequently the center time for the MSS. For all
 60 available DTU MSS models MSS is computed over the 1993.01.01 to 2012.12.31. Hence the center
 61 time for all DTU models will be 2003.01.01. Within the 66° parallels the highly accurate mean profiles
 62 derived using TOPEX/J1/J2 nearly uninterrupted observations is the back-bone of the MSS models.

63 Table 1 shows all altimetry used for the computation of the DTU21MSS and its predecessors:
 64 DTU15MSS and DTU18MSS. Whereas the DTU15MSS was based on roughly 5 years of GM
 65 observations, the DTU21MSS is based on nearly three times as much data or more than 15 years of
 66 GM due to the recent focus on launching satellites in long repeat orbits.
 67

68 It is also important that satellite observations from the four newer GMs (Cryosat-2, Jason-1, Jason-2
 69 & SARAL) have around 1.5 times higher range precision compared with the old ERS-1 GM (Garcia
 70 et al., 2014) Consequently it was decided to retire the ERS1 GM data for the DTU21MSS due to its
 71 inferior signal to noise ratio.

	Satellite	DTU15MSS	DTU18MSS	DTU21MSS
ERM	TP+Jason-1+Jason-2	Jan 1993- Dec 2012	Jan 1993- Dec 2012	Jan 1993-Dec 2012
	ERS2+ENVISAT	May 1996-Oct 2011	May 1996-Oct 2011	May 1996-Oct 2011
	TP & Jason-1 Interleaved	Sep 2002 to Oct 2005 Feb 2009 to Mar 2012	Sep 2002 to Oct 2005 Feb 2009 to Mar 2012	Sep 2002 to Oct 2005 Feb 2009 to Mar 2012
	GFO	Jan 2001 Aug 2008	Jan 2001 Aug 2008	Jan 2001 Aug 2008
GM	ERS1 (2 interleaved cycles of 168 days)	April 1994-May 1995	April 1994-May 1995	Not Used
	Cryosat-2 (368.25 days repeat)	Oct 2010-July 2014	Oct 2010-July 2017	Oct 2010- Oct 2019
	Jason1 LRO (1 cycle of 404 days)	April 2012-Jun 2013	April 2012-Jun 2013	April 2012-Jun 2013
	Jason2 LRO (2 cycles of 371 days)	Not used	Not used	Aug 2017-Sept 2019
	Saral AltiKa (drifting phase)	Not used	Not used	July 2016-Dec 2020

72
 73 Table 1. Satellite altimetry used for the DTU15/18/21MSS models.
 74

75 The DTU21MSS builds on a slightly filtered version of the DTU15MSS. There have been major
 76 theoretical advances leading up to the release of the DTU21MSS compared with the previous

77 DTU15MSS. After describing the altimetric data in the next section, these are detailed in the
78 subsequent sub-sections below. One advance is related to the retracking and range precision of the
79 data. Another advance is related to the computation of new 2-Hz altimetric observations. The final
80 advance is related to long wavelength corrections and the use of anisotropic filtering to enhance the
81 MSS in current regions and Polar regions.
82

83 2.1 Satellite altimetry

84 The Sensor Geophysical Data Record (SGDR) products for Jason-1 GM, Jason-2 GM, and
85 SARAL/AltiKa GM are obtained from the Archiving, Validation, and Interpretation of Satellite
86 Oceanographic (AVISO) data service. The L1b-level products for CryoSat-2 LRM are acquired
87 through the data distribution service of the European Space Agency (ESA). All these products include
88 along-track high- sampling-rate waveforms equivalent to 20 Hz for all missions except for 40 Hz for
89 SARAL/AltiKa.

90 All environmental and geophysical corrections of the altimeter range measurements have been applied
91 to calculating Sea Surface Heights (SSH). These corrections include dry and wet tropospheric path
92 delay, ionospheric correction, ocean tide, solid earth tide, pole tide, high-frequency wind effect, and
93 inverted barometer correction. The most recent FES2014 ocean tide model has been used for all
94 missions (Lyard et al., 2021). All corrections are provided on 1-Hz. Hence, these were interpolated
95 into 20 Hz or 40 Hz by using piecewise cubic spline interpolation.

96 All satellites except for CryoSat-2 operate in the traditional low-resolution mode (LRM) where the
97 along-track resolution is limited to 2-3 km. Cryosat-2 also operates in LRM over most of the oceans.
98 In regions where sea ice is prevailing Synthetic Aperture Radar (SAR) is applied. In this mode, the
99 returning echoes are processed coherently resulting in a footprint of 290 meters. Over steeply varying
100 terrain and in some coastal regions, the SAR interferometric mode (SARin) is used where the
101 instrument receives on two antennas are used. A mode mask controls the availability of three data types
102 (www1, 2022). The advantage of the SAR processing is in theory a factor of 2 improvements in range
103 precision (Raney., 2011). Due to the burst structures of Cryosat-2, the improvement found is only
104 around 1.5 times the range precision of LRM data. (Raney, 2011; Garcia et al., 2014)
105

106 Waveform retracking is an effective strategy to improve the range precision of altimeter echoes
107 (Gommenginger et al., 2001). There are two strategies. Empirical retracker has the advantage of
108 providing a valid and robust estimation of arrival time used for sea surface height (SSH) estimation for
109 almost all types of surfaces (e.g., sea ice leads, coastal). The disadvantage is that they only provide
110 SSH and not rise time used to determine significant wave height and windspeed important to derive a
111 Sea State Bias correction (Fu and Cazenave, 2001).
112

113 Physical retrackers generally apply the Brown model for LRM data (Brown 1977) or the SAMOSA
114 model for SAR and SAR-in observations (Ray et al. 2015). These estimate 3 or more parameters and
115 enable corrections and sea state conditions, through the determination of significant wave height and
116 wind speed.

117

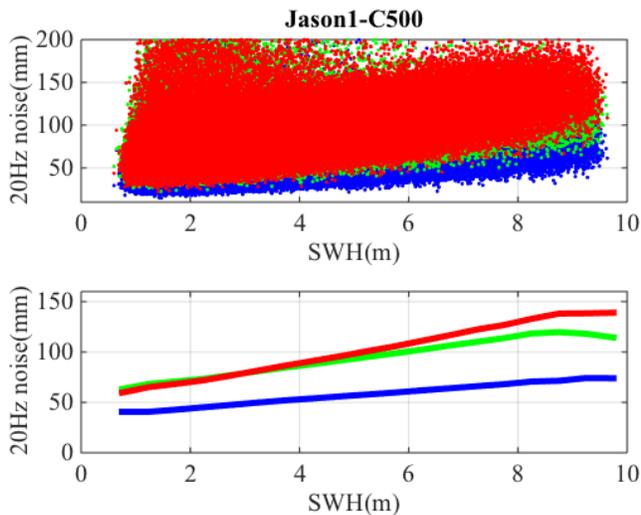
118 2.2 Two-pass retracking for range precision

119 Over the ocean, the typical shapes of raw waveforms from all four GM satellite missions are well-
 120 modeled using the Brown-type model. In the first step, the waveforms are fitted by the three-parameter
 121 Brown model (arrival time, rise time, and amplitude).

122 However, (Maus *et al.*, 1998; Sandwell and Smith, 2005) showed that there is a strong coherence
 123 between the estimation errors in the arrival time and rise time parameters resulting in a relatively noisy
 124 estimate of arrival time and hence sea surface height. Consequently, Sandwell and Smith (2005)
 125 suggested the use of a second step where the rise time parameter is smoothed. In the derivation of the
 126 DTU21MSS, we applied the same two-step retracking and fixed the along-track smoothing at 40 km
 127 before retracking the waveforms again using a two-parameter Brown model (arrival time and
 128 amplitude).

129 For the four GM missions Jason-1, Jason-2, SARAL/AltiKa, and CryoSat-2/LRM this approach has
 130 been proved effective (Garcia *et al.* 2014; Zhang and Sandwell 2017). Figure 1 illustrates the gain in
 131 range precision using the two-pass retracking. The improvement for all four LRM datasets is dependent
 132 on the SWH but is on average of the order of 1.5 similarly to what has been shown by other authors.
 133 (Sandwell *et al.*, 2014; Zhang *et al.*, 2019).

134



135

136 *Figure 1. The standard deviation of retracked height with respect to DTU15MSS for the first 11 days*
 137 *of the Jason-1 GM. The upper figures illustrate the statistics for individual points. The lower figure*
 138 *illustrates the median averaged over 0.5 meters SWH intervals. Red: height from sensor geophysical*
 139 *data record; Green: height from the first step of two-pass retracking; Blue: height from the second*
 140 *step of the two-pass retracking). Modified from Andersen *et al.*, (2021)*

Commented [AA1]: It seems the figure shows the cycle 500 of Jason-1 GM. So if it is only one cycle for Jason-1 GM, it is roughly 11 days.

141 Whereas two-pass retracking is very efficient for LRM data, we did not apply the two-pass retracking
142 for the CryoSat-2 SAR- and SARIN-mode data as there is no gain in range precision from the second
143 step of the retracking. This was documented by Garcia et al., (2014).

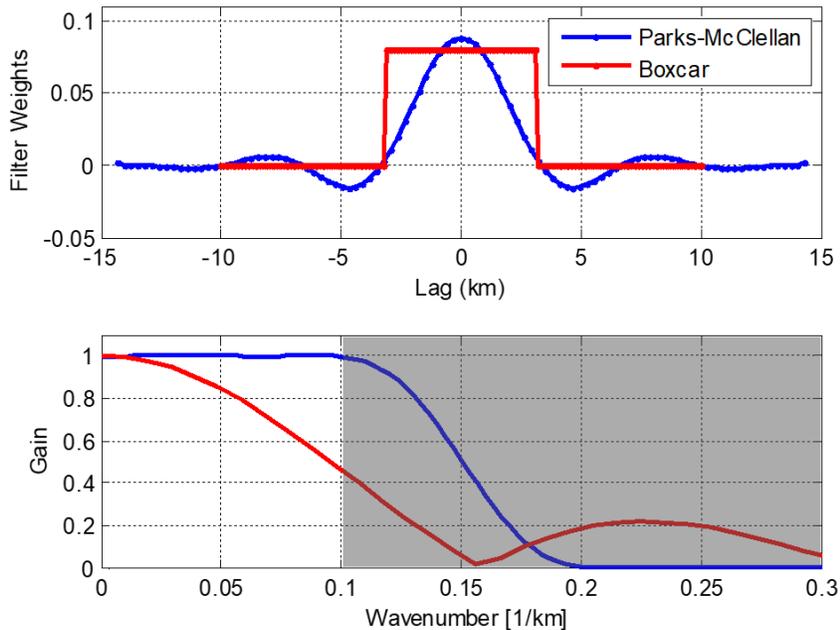
144 **2.3 2-Hz Sea Surface height data**

145 The 20/40Hz double retracked SSH data are edited for outliers and subsequently, an along-track low-
146 pass filtered is applied before generating the 2Hz SSH data used for MSSH determination.

147 The along-track low pass filter uses the Parks-McClellan algorithm which has a cut that begins at 10
148 km wavelength and zero gain at 5 km, thus has 0.5 gain at 6.7 km, which is approximately the
149 resolution of 1Hz data. (Sandwell and Smith, 2009). The filter had to be designed for each satellite
150 mission to match the 0.5 gain at 6.7 km due to the different along-track sampling rates. After this
151 filter is applied the data were downsampled to a 2 Hz sampling rate, which corresponds to an along-
152 track spacing of around 3 km.

153 For the previous DTU15MSS we used 1 Hz or roughly 6 km along track SSH data from the Radar
154 Altimetry Data Archive (RADS, Scharroo et al., 2013). The 1 Hz data have been generated using a
155 boxcar filter or the equivalent of computing the 1 sec mean of the available 20/40Hz data. The
156 advantage of using of the Parks-McClellan algorithm over the boxcar filter is that this filter does not
157 introduce side lobes degrading the SSH in the 10-40 km band contributing to the spectral hump of
158 conventional LRM data (Dibarboure et al., 2014; Garcia et al., 2014). This is illustrated in Figure 2.

159



160

161 *Figure 2. Illustration of Parks-McClellan filter used to derive consistent and stable 2Hz Sea surface*
 162 *height. (top) spatial filter function of boxcar (in red) and Parks-McClellan filter (in blue) (bottom)*
 163 *frequency response of the two filters. Sidelobes and spectral leakage at the short wavelengths can be*
 164 *observed for the boxcar filter, which will remain as high-frequency noise in the filtered dataset.*
 165

166 2.4 Long-wavelength adjustment

167 The DTU21MSS builds on the heritage of the DTU15MSS. We first compute a long wavelength
 168 correction using the ERM mean profiles. This is done separately inside the 66° parallel
 169 corresponding to mid and low latitude regions where the TOPEX/J1/J2 are available and outside the
 170 66° parallel where we have to rely on other satellites.

171

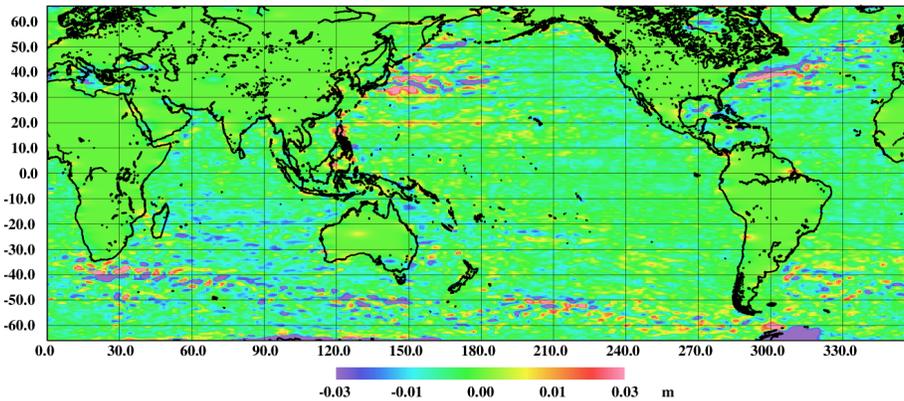
172

173 2.4.1 Mid and low latitudes

174 The long wavelength of the MSS within the 66° parallels largely defined by the highly accurate mean
 175 profiles derived using TOPEX/J1/J2 nearly uninterrupted observations every 9.91 days for 20 years.
 176 Along the mean profiles, the 2Hz mean profiles are computed every 3 km, but across tracks, the
 177 sampling is far less and up to 330km at the Equator.

178 The major ocean currents (e.g., the Gulf Stream and Kuroshio) flow largely west to the east giving
 179 rise to a significant MSS signal.

180 Compared with its predecessor DTU15MSS and DTU18MSS we improve the modeling of large
 181 currents using an an-isotropic covariance for the interpolation using least squares collocation.
 182 In the interpolation a second order Gauss-Markov covariance model with a correlation length of 300
 183 km in the longitude direction and 100 km in the latitude direction.
 184 The result is a small correction in the major current systems which ranges up to 5 cm.
 185



186
 187 *Figure 3. The long wavelength correction to DTU15MSS computed from the TOPEX/J1/J2 mean*
 188 *profiles inside the 66° parallel and from the ERS-2+ENVISAT mean tracks outside the 66° parallel.*
 189
 190
 191 **2.4.2 Polar region MSS from Cryosat-2**

192
 193 A closer inspection of the Cryosat-2 mode mask (www1, 2022) shows that Polar Regions (outside the
 194 66 ° parallels) are largely measured in the SAR and SARin modes due to the presence of sea ice. This
 195 is with the exception of the Barents Sea north of Norway.
 196 For SAR and SARin mode data we applied the SAMOSA+ physical retracking (Dinardo et al., 2018).
 197 SAMOSA+ adapts the SAMOSA retracking model (Ray et al., 2015) to operate over specular
 198 scattering surfaces as ice-covered polar oceans by involving mean square slope as an additional
 199 parameter in the retracking scheme and by implementing a more sophisticated choice of the fitting
 200 initialization resulting in greater robustness to strong off-nadir returns from land or else. The
 201 SAMOSA+ retracker even discriminates between return waveforms from diffusive and specular
 202 scattering surfaces, ensuring the continuity in the sea level retrieval going from the open ocean and
 203 into the sea, since it falls back to the standard SAMOSA solution over the open ocean.
 204
 205
 206
 207

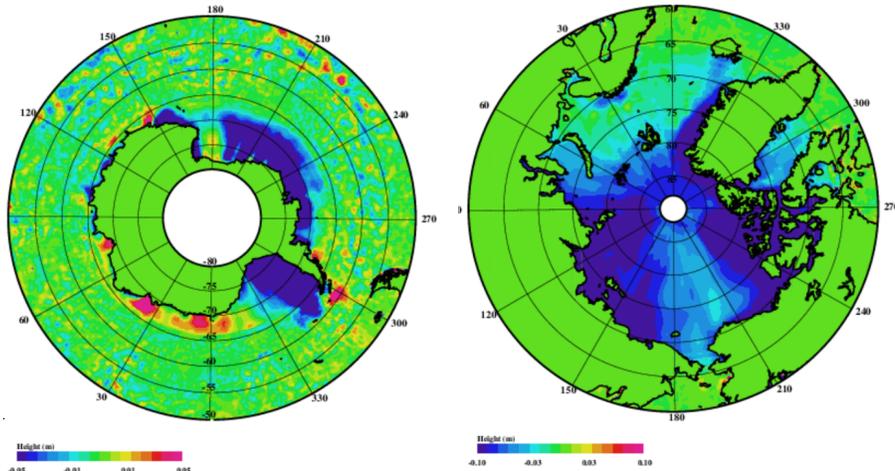


Figure 4. DTU21MSS-DTU15MSS for the Southern Ocean (left) and the Arctic Ocean (right). The color scale ranges up to +/- 5 cm for the Southern Ocean and +/-10cm for the Arctic Ocean.

With the assistance of the European Space Agency (ESA) Grid Processing On-Demand (GPOD) we have processed a total of 9 years of Cryosat-2 (2010.10 to 2019.10) for both the Arctic and Southern Ocean using SAMOSA+ retracker. Observations over the sea ice have been removed, only observations over leads (ocean surface between the ice floes) remain. This post-processing is similar to Rose et. al., (2019).

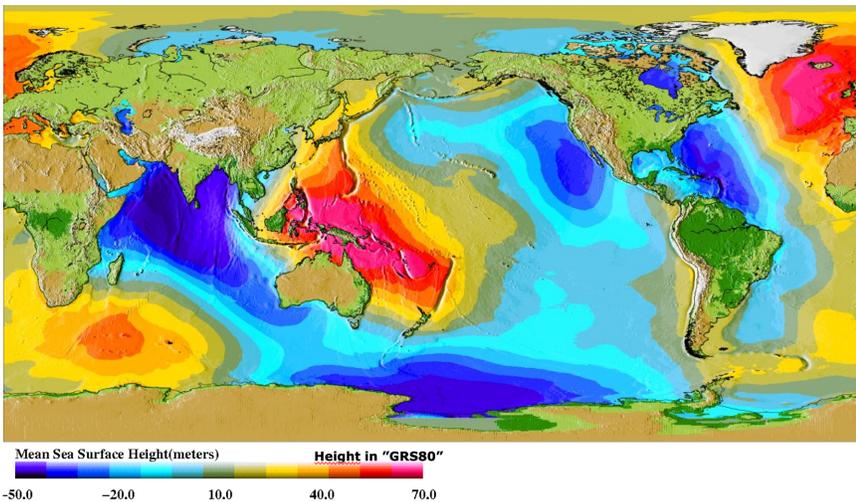
Upon computing the mean of all observations, the center time for the Crysat-2 data was 2015.04 so it was necessary to correct for sea level rise to consolidate these data on the 2003.01 center period of the DTU15MSS and DTU21MSS following the methodology by (Rio and Andersen 2009). This was performed in the 65° - 66° border zone as the reprocessing of Cryosat-2 with SAMOSA+ is limited to outside the 65° parallels.

The difference between the DTU21MSS-DTU15MSS is shown in Figure 4 for the Southern Ocean close to Antarctica (left) and the Arctic Ocean (right). For nearly all ice-covered regions the DTU15MSS is higher than the DTU21MSS. DTU15MSS was derived from 1-Hz RADS data which were very sparse in both time and space. RADS converts the SAR data to Pseudo LRM (Scharroo et al., 2013) and performed physical retracking on these data using a modified Brown model. In RADS many more data were available during the ice-free summer month where the annual signal causes sea level to stand higher, so it is expected that DTU15MSS could be too high.

2.5 Mean sea surface computation

The details of the computation technique of the DTU21MSS follows the development of former DTU MSS models (Andersen and Knudsen, 2008) where the ERM tracks are first used to compute the wavelength part of the MSS. Hereafter the GM data are introduced to compute the fine-scale structures of the MSS. This part uses small tiles to parallelize the computation process.

238 The final step to close the Polar Gap is to fill in MSS proxy data north of 88N where no altimetry is
 239 available. This was done by feathering the EGM08 geoid (Pavlis et al., 2012) across the pole in the
 240 following way: The preliminary MSS was calculated up to 88°N using the satellite altimetry data
 241 alone. Subsequently, the difference between the MSS and the EGM08 geoid was computed
 242 longitude-wise in the 87N-88N region and a mean offset was estimated and removed. The residual
 243 grid was transformed into a regular grid in Polar stereographic projection enabling interpolation
 244 across the North Pole using a second order Gauss Markov covariance function with a correlation
 245 length of 400 km. This makes the DTU MSS models truly global.
 246
 247



248
 249 *Figure 5. The mean sea surface from the Technical University of Denmark (DTU) in meters*
 250

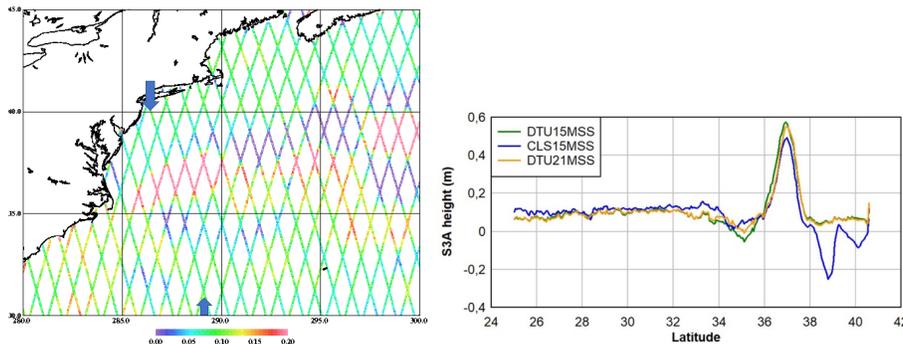
251 The DTU21MSS as its predecessors is given on a 1-minute global resolution grid. A closer
 252 examination of the MSS in Figure 5 illustrates, that the height of the ocean's mean sea surface
 253 relative to the mathematical best fitting rotational symmetric reference system (GRS80) has
 254 magnitudes of 100 meters.
 255
 256

257 2.6 Sentinel-3

258 The European Space Agency (ESA) launched Sentinel-3A on the 16th of February 2016 and Sentinel-
 259 3B on 25th April 2018. These satellites operate as SAR altimeters everywhere with the benefit of
 260 increased range precision compared with conventional LRM altimetry. Both the increased along-
 261 track resolution and more importantly the cross-track resolution of 35 km would make these
 262 important contributors to the DTU21MSS. However, two problems prevented the use of these data
 263 for the time being.

264 The first relates to the fact that mean profiles could only be computed over 5 and 3 years from
 265 Sentinel 3A and B, respectively. As the Sentinel-3 satellites operate in a 27-days repeat this gave 66
 266 and 40 cycles making these mean profiles considerably noisier compared with other mean profiles -

267 also because the satellites are sun-synchronous hence mapping S2 ocean tide residuals into the mean
 268 sea surface (Andersen and Knudsen, 2008). Secondly, the center times of the mean profiles are more
 269 than 15 years off the center time of the TOPEX/J1/J2 mean profiles. The effect of this is illustrated in
 270 Figure 6 showing a section of the Gulf Stream. Here the mean of S3A is 10 cm but the standard
 271 deviation of the Sentinel 3 mean profiles with respect to the DTU15MSS is 13 cm (Figure 6 left
 272 panel). The mean profile from Sentinel-3A along track 719 (blue arrow in the left panel) across the
 273 Gulf Stream is shown in the right panel going from south to north. Between 26N and 32N the
 274 difference corresponds closely to the expected sea level rise of a little more than 10 cm. However, as
 275 the track crosses the Gulf Stream the signal increases to nearly 60 cm.
 276 The Gulf Stream causes the mean sea level to drop by around a meter as one moves from the south to
 277 north from the center of the Northwest Atlantic towards the coast. When the Gulf Stream meanders
 278 back and forth with time it creates the observed sea level residual seen (Zlotnicki, 1991).
 279 As Sentinel 3A and 3B are outside the (1993-2012) averaging period and as the meandering of the
 280 Gulf Stream is profound over the last 15 years, it was not possible to ingest the S3A and B mean
 281 profiles without degrading the DTU21MSS in this region.
 282 There is no doubt to the importance of Sentinel 3A/B for future MSS models, but in order to ingest
 283 the Sentinel 3A/B in future MSS models we found, that we will need to extend the averaging period
 284 to 30 years (1993-2022).
 285 We consequently decided to use the Sentinel 3A/B for the evaluation of the various MSS models.
 286
 287



288
 289
 290 *Figure 6. Sentinel-3A 5y mean profiles in the Gulf Stream area (left) relative to the DTU15MSS. The*
 291 *Sentinel-3A mean profile for track 471 (blue arrow) across the Gulf Stream relative to the*
 292 *DTU15MSS, the CLS15MSS (Schaeffer et al, 2012), and the DTU21MSS*
 293

294 3 Evaluation

295
 296 In this section, we perform three different evaluations of the MSS. These evaluations are by no
 297 means as complete as the evaluation performed by Pujol et al. (2018) but serve the purpose of
 298 indicating the improvements going from DTU15MSS to DTU21MSS globally, in the Arctic Ocean,
 299 and in coastal regions.
 300

301 3.1 Global evaluation with mean profiles

302
 303 Most mean profiles have been used for the derivation of the MSS models. In the global comparison
 304 with mean profiles shown in Table 2, the TP/J1/J2, the TP/J1 interleaved, and the E2/ENV mean
 305 profile has been used in the deviation of all present MSS models. However, the S3A and S3B mean
 306 profiles are independent.
 307

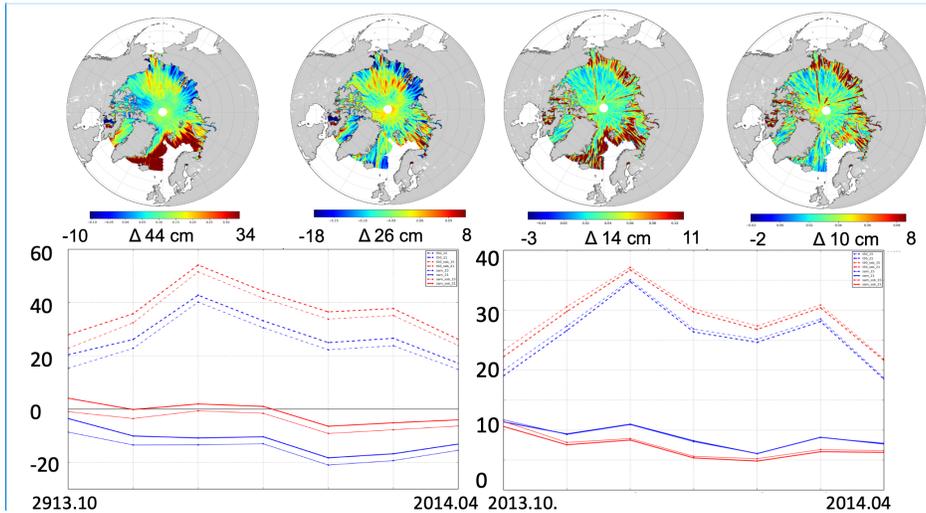
	TP/J1/J2 (541936)	TP+J1 Interleaved (542638)	E2/ENV (1652043)	S3A (1446733)	S3B (1418477)
DTU15MSS	0.00 / 1.48	0.38 / 3.25	-0.17 / 3.97	4.92 / 5.20	4.94 / 5.39
DTU21MSS	0.00 / 1.17	0.36 / 3.21	-0.14 / 3.40	5.22 / 4.79	5.12 / 5.02
CLS15MSS	0.00 / 1.19	0.32 / 3.11	-0.17 / 5.22	5.26 / 5.01	5.01 / 5.18

308 *Table 2. Comparison with mean profiles given as mean difference and standard deviation. All values*
 309 *are in cm. The (TP/J1/J2, TP/J1 interleaved and E2/ENV mean profiles, have been used in the*
 310 *deviation of the various MSS. The S3A/B mean profiles are independent.*

311
 312 Table 2 shows how the various models have been fit to the TP/J1/J2 mean profiles, which have both
 313 very small mean and standard deviation. In the comparison with the Sentinel-3A/B mean profiles, we
 314 limited the spatial extend to within the 65° parallels as the standard deviation increases considerably
 315 for the CLS15MSS at high latitudes. As has been demonstrated previously the oceans changes on all
 316 time scales and that, the more than 15 years of different time-epoch between the S3A/B mean profiles
 317 and the center epoch of the MSS models make the use of the S3A/B mean profiles unsuitable for the
 318 direct evaluation of the absolute accuracy of the MSS models. They do however indicate that the
 319 DTU21MSS performs superior compared with the older models from 2015.

320 3.2 Arctic evaluation.

321
 322 Within the ESA CryoTempo project we evaluate the impact of the usage of a physical retracker and
 323 empirical retracker on the retrieval of sea level anomalies. We used the state-of-the-art empirical
 324 retracker called the Threshold First Maximum Retracker Algorithm (TFMRA) ([Helm et al., 2014](#))
 325 and the SAMOSA+ physical retracker. In the evaluation, we also compared the state-of-the-art MSS
 326 models which were the DTU15MSS and DTU21MSS. It was not possible to compare with the
 327 CLS15MSS as this model only covers up to 84°N and has several voids in the Arctic Ocean. The use
 328 of the physical retracker allows us to estimate the Sea State Bias (SSB) which was estimated. This
 329 Sea State Bias correction was subsequently applied to both the SAMOAS+ physical SLA and the
 330 empirical TFMRA SLA.
 331 A total of 7 months of Cryosat-2 was used between Oct 3013 and April 2014. The results are shown
 332 in Figure 7 where the Upper panels show the spatial variation in the mean (two left panels for the
 333 empirical and physical SLA) and the corresponding standard deviation of SLA (two right panels).
 334 The lower panels highlight the time evolution of the monthly SLA anomalies averaged with the
 335 monthly mean given in the left panel and the standard deviation given in the right panel.
 336



Commented [AA2]: Typo in the lower left edge of the figure. It should be "2013.10" instead of 2913.10.

337
338

339 *Figure 7. Comparison of retracers and MSS models over the Arctic Ocean from Oct 2013-April*
 340 *2014. Upper panels: Mean SLA using the empirical TMFRA retracker and DTU15MSS (first panel);*
 341 *Mean SLA using SAMOSA+ and DTU21MSS (second panel). Standard deviation of SLA using the*
 342 *empirical TMFRA retracker and DTU15MSS (third panel) and standard deviation of SLA using*
 343 *SAMOSA+ and DTU21MSS (fourth panel).*

344 *Lower panels: Evolution of SLA in time. Mean (left) and Standard deviation (right) shown as monthly*
 345 *values. Heavy lines correspond to using DTU21 and thin lines correspond to using DTU15. Dotted*
 346 *lines correspond to using the TFMRA retracker and solid lines to SAMOSA+ retracker. The red lines*
 347 *have the Sea State Bias correction applied whereas the blue lines have not.*

348

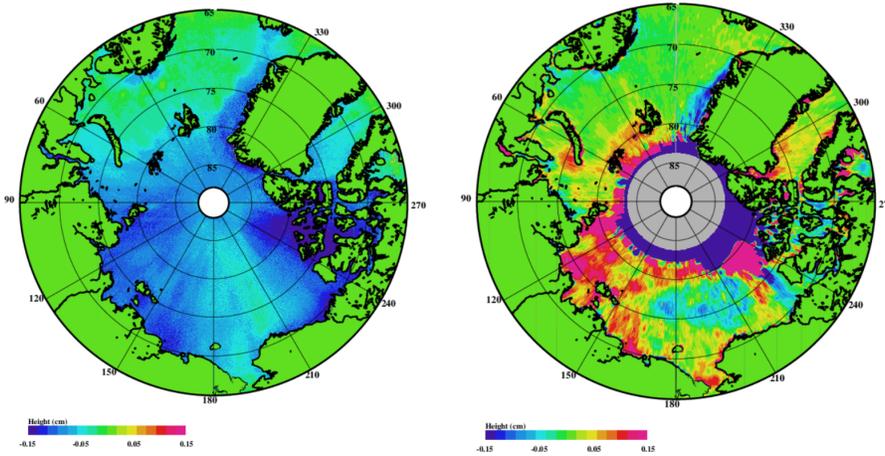
349 This study shows an improved measurement of SLA using SAMOSA+. In conjunction with this
 350 physical retracker, the correction of the sea state bias (SSB) further improves the results. The SSB
 351 should not be applied with TFMRA50. In all cases, the DTU21MSS delivers better results than the
 352 DTU15 MSS. With SAMOSA+, SSB, and DTU21MSS we obtain a mean SLA of $-1.5\text{cm} \pm 12\text{cm}$
 353 instead of $-5.4\text{cm} \pm 22\text{cm}$ over the 2013/10-2014/04 period.

354

355 To illustrate the difference between various MSS models we computed the difference between the
 356 DTU21MSS and the DTU15MSS and CLS15MSS, respectively.

357

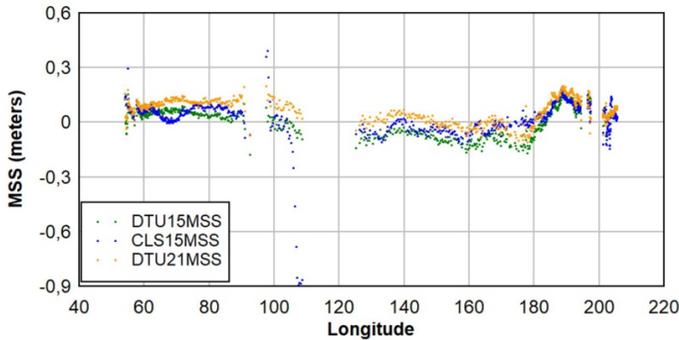
DTU21MSS



358
359
360
361
362
363
364
365
366
367
368
369
370

Figure 8 Difference (DTU21-DTU15) and DTU21-CLS15) for the Arctic Ocean. The color scale ranges from -15cm to +15 cm.

Figure 8 illustrates that the DTU21MSS is roughly 5-7 cm lower than the DTU15MSS throughout the Arctic Ocean. This is as mentioned previously an effect of the additional data for the DTU21MSS. Differences are small in the Northern Atlantic Ocean and the Barents Sea but increase to -14 -12 cm in the Canadian Arctic Archipelago. The difference with the CLS15MSS highlight the fact that the MSS is limited to the 84°N parallel. Also, the areas in blue north of Canada are voids in the CLS15MSS where the MSS values have been substituted by the EGM08 geoid.



371
372
373
374
375
376
377

Figure 9. The difference between the 5-year S3A mean profile along track 497/498 and the various MSS models in the Arctic Ocean.

Another way of illustrating the differences between the various MSS model is to show the difference between a Sentinel-3A 5-year mean profile and the various MSS model. Figure 9 shows this difference along the Sentinel-3A track. The track transits from Russia at 68°N,54°E. Passing to the

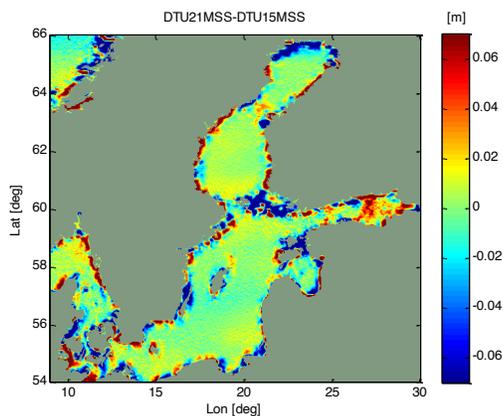
DTU21 MSS

378 east of Nova Zemlya and continues up to 82°N (at 120°E). From here it goes down to the Aleutian
379 Trench at 57°N, 204°E. The standard deviation with the S3A mean profiles are 6.1 5.7 and 8.1 cm
380 respectively for the DTU15MSS, DTU21MSS, and the CLS15MSS. The relatively large standard
381 deviation of the CLS15MSS is related to large variations as close to sea ice as also indicated in
382 Figure 8.

383 The increase in the S3A residuals around 190E is associated with the transition of the Bering Strait
384 and the height on the eastern side of the Strait could be related to increased flow through the Strait
385 (Woodgate and Peralta-Ferriz, 2021)
386

387 3.3 Coastal evaluation

388 The difference between the DTU21MSS and the DTU15MSS was evaluated in the Baltic Sea as part
389 of the BalticSeal+ project (<http://balticseal.eu/>). Differences ranging up to 8 cm were found in the
390 coastal zone and the narrow (15 km) Danish Straits as well as the Bay of Botnia and the Swedish
391 archipelago. In all locations we found, that the former DTU15MSS is unreasonably high near the
392 coastline. Similarly, we found that in the Bay of Finland the DTU15MSS was too low. In all cases,
393 we found that this is an artifact of the gridding combined with the lack of 1Hz data used for the older
394 DTU15MSS.
395



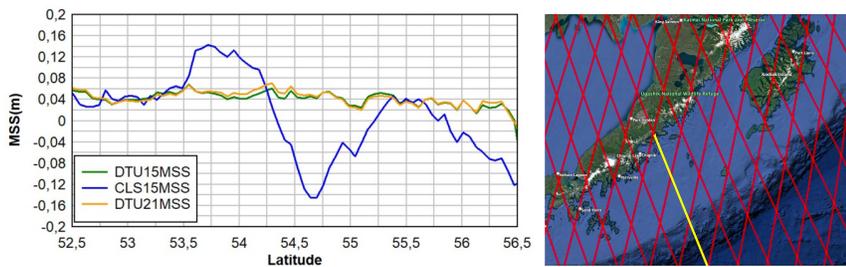
396 Figure 10. The difference between the DTU21MSS and the DTU15MSS in the Baltic Sea including
397 the opening to the North Sea through the Danish Straits.
398
399
400

401 We investigated 5-year mean profiles from Sentinel-3A close to the Aleutian Islands in the northern
402 Pacific Ocean. This is a major subduction zone and is well known for its huge gravity and geoid
403 signal. Here we compared the various state-of-the-art mean sea surfaces DTU15MSS, CLS15MSS,
404 and DTU21MSS. This comparison is presented in Figure 11. The difference between 5-year mean
405 profiles from S3A and the various MSS are plotted along track 497.
406

407 We expect to find, that the S3A mean profiles would be around 5 cm higher due to a global linear sea
408 level rise of 3 mm/year time over the 15 years due to the offset in the center periods of the MSS and
409 the Sentinel-3A mean profile. The roughly 5 cm offset was confirmed by DTU15MSS and

410 DTU21MSS. The differences between the two indicate that DTU21MSS is generally only a few cm
 411 corrections to DTU15MSS. However, the difference with CLS15MSS shows some interesting
 412 oscillating effect of 15 cm up to 300 km from the coast in the CLS15MSS approaching the coast
 413 between latitudes 53.5° and 56.5°. This is potentially some geoid residuals as CLS used a
 414 remove/restore technique with the EGM08 geoid model (Shaeffer et al., 2012). The same coastal
 415 oscillation was seen in most tracks in the region and also close to the coast in the NW Atlantic at
 416 track 471 shown in Figure 7.

417
 418



419
 420 Figure 11. Difference between 5-year mean profiles from S3A along track 497. DTU15MSS (green),
 421 CLS15MSS (blue) and the DTU21MSS (green).
 422

423 4 Conclusions

424 A new Mean Sea Surface (MSS) called DTU21MSS for referencing sea level anomalies from satellite
 425 altimetry has been presented along with the first evaluations. We have presented the updated processing
 426 chain with updated editing and data filtering. The updated filtering implies, that the 20Hz sea surface
 427 height data are filtered using the Parks-McClellan filter to derive 2 Hz. This has a clear advantage over
 428 the 1 Hz boxcar filter used previously in enhancing the MSS in the 10-40 km wavelength band.
 429 Similarly, the use of a the FES2014 ocean tide model improves the usage of sun-synchronous satellites
 430 in high latitudes in the new MSS.

431

432 Cryosat-2 employs SAR and SARin modes in large part of the Arctic Ocean due to the presence of
 433 sea ice. For SAR and SARin mode data we applied the SAMOSA+ physical retracking (Dinardo et
 434 al., 2018) in order to make it compatible with the physical retracker used for conventional Low
 435 Resolution Mode data.

436

437 We initially performed global comparisons with the mean profile from various available satellite
 438 using data from the RADS data archive as these have only been used in the DTU15MSS and not any
 439 of the other MSS models. Hence the comparison with these mean profiles can be slightly biased.
 440 However, the comparison with the 5- and 3-year S3A and S3B mean profiles are independent. These
 441 both shown a relatively clear improvement for the DTU21MSS which is also expected as the S3A/B
 442 data are derived from SAR altimetry and hence should compare better with the MSS derived using
 443 the two-pass altimetry due to the enhanced modelling of the 10-30 km wavelength (Garcia et al.,
 444 2013).

445

446 This the Arctic Ocean an initial study shows an improved measurement of SLA using SAMOSA+
 447 with the DTU21MSS. In conjunction with this physical retracker, the correction of the sea state bias

448 (SSB) further improves the results. In all evaluations, the DTU21MSS delivers better results than the
449 DTU15 MSS. With SAMOSA+, SSB, and DTU21MSS we obtain a mean SLA of $-1.5\text{cm} \pm 12\text{cm}$
450 instead of $-5.4\text{cm} \pm 22\text{cm}$ over the 2013/10-2014/04 period.

451
452 Coastal evaluation of the new DTU21MSS was performed in the Baltic Sea and the Aleutian trench
453 zone in Alaska. The evaluation in the Baltic Sea confirms that DTU15MSS is frequently several cm
454 too high in coastal and Archipelago regions due to the lack of 1 Hz data for the DTU15MSS. The
455 comparison with Sentinel 3A tracks close to the coast of the Aleutian. illustrated some problems with
456 the CLS15MSS.

457
458 The new DTU21MSS is computed over the same 20-year averaging time from 1993.01.01 to
459 2012.12.31 with a center time of 2003.01.01. Rio and Andersen (2007) derived a methodology to shift
460 the center period of a MSS to consolidate data with a different averaging period e.g., C2 and S3A.
461 However, we found that the 5year Sentinel-3A mean profiles (2016.05-2020.05) were too problematic
462 to consolidate onto the 1993-2012 averaging period without degrading the MSS model, particularly in
463 large current regions. This finally lead to the omission of these data in the DTU21MSS. We found that
464 we need to extend the averaging period to 30 years soon to enable use of the important new Sentinel-
465 3A/B data in the next generation MSS models.

466
467

468 **5 Author Contributions**

469 OA wrote the manuscript and performed the computation of the DTU21MSS. ZS performed the two-
470 pass retracking of all 20/40 Hz Geodetic Mission data. AA developing the software for producing 2
471 HZ and MSS computations in coastal regions. SKR performed the data processing for SAR and
472 SARin data for the Polar Regions. SF contributed to the MSS validation in the Arctic Ocean.

473 **6 Funding**

474 ESA contributed to the MSS development through the BalticSeal project and the CryoTempo
475 projects. SZ worked at DTU during 2020 supported by the National Nature Science Foundation of
476 China, Grant No. 41804002, by the State Scholarship Fund of China Scholarship Council, Grant No.
477 201906085024, by Fundamental Research Funds for the Central Universities.

478 **7 Acknowledgments**

479 The authors are thankful to the space agencies for considering the Geodetic or Long-repeat missions
480 as part of mission operations and for providing these high-quality data to the users. We would like to
481 acknowledge ESA-RSS (Research and Service Support), and in particular B. Abis and G. Sabatino, the for
482 their assistance in processing the data with G-POD (<http://gpod.eo.esa.int/>). We acknowledge the support
483 of ESA to the CryoTempo and Baltic Seal+ project through the contracts AO/1-10244/20/I-NS &
484 4000126590/19/I-BG

485

486 **8 Data availability statement**

487 The DTU21MSS is available from <http://data.dtu.dk>. The high-resolution MSS model is available in
488 several formats and relative to various reference ellipsoids (TOPEX and WGS84/GRS80) DOI:
489 10.11583/DTU.19383221

490

491 **9 Reference**

- 492
493 Andersen, O.B.; Zhang, S.; Sandwell, D.T.; Dibarboure, G.; Smith, W.H.F.; Abulaitijiang, A. (2021) The Unique Role of the
494 Jason Geodetic Missions for High Resolution Gravity Field and Mean Sea Surface Modelling. *Remote Sens.*, 13, 646.
495 <https://doi.org/10.3390/rs13040646>
- 496 Andersen O.B, Knudsen P (1998) Global marine gravity field from the ERS-1 and Geosat geodetic mission altimetry. *J Geophys*
497 *Res* 103:8129–8137
- 498 Andersen O. B, Knudsen P (2019) The DTU17 global marine grav- ity field: first validation results. In: International association
499 of geodesy symposia, Berlin, Heidelberg. https://doi.org/10.1007/1345_2019_65
- 500 Andersen O. B, Scharroo R (2011) Range and geophysical corrections in coastal regions: and implications for mean sea surface
501 determination. In: Vignudelli S, Kostianoy A, Cipollini P, Benveniste J (eds) Coastal altimetry. Springer, Berlin, pp 103–146
- 502 Andersen O. B, Knudsen P, Berry PAM (2010) The DNSC08GRA global marine gravity field from double retracked satellite
503 altimetry. *J Geod* 84(3):191–199
- 504 Andersen O. B, Knudsen P, Kenyon S, Holmes S (2014) Global and Arctic marine gravity field from recent satellite altimetry
505 (DTU13). In: Proceedings 76th EAGE conference and exhibition 2014, Amsterdam RAI, the Netherlands.
506 <http://doi.org/https://doi.org/10.3997/2214-4609.20140897>
- 507 Brown, G., The average impulse response of a rough surface and its applications, *IEEE Journal of oceanic engineering*, 2(1), 67–
508 74, 1977.
- 509 Dibarboure, G., Boy, F., Desjonqueres, J. D., Labroue, S., Lasne, Y., Picot, N., et al. (2014). Investigating short-wavelength
510 correlated errors on low-resolution mode altimetry. *Journal of Atmospheric and Oceanic Technology*, 31, 1337–1362.
511 <https://doi.org/10.1175/JTECH-D-13-00081.1>
- 512 Dinardo S, Fenoglio, L., Buchhaupt C, Becker M., Scharroo R., Fernandes M. J, Benveniste, J. (2018). Coastal SAR and PLRM
513 altimetry in German Bight and West Baltic Sea. *Advances in Space Research*. 62. <http://doi.org/10.1016/j.asr.2017.12.018>
- 514 Dufau, C., Orstynowicz, M., Dibarboure, G., Morrow, R., & La Traon, P.-Y. (2016). Mesoscale resolution capability of altimetry:
515 Present & future.
Journal of Geophysical Research, 121, 4910–4927. <https://doi.org/10.1002/2015JC010904>
- 516
- 517 Fu L-L, Cazenave A (2001) Satellite altimetry and earth sciences: a handbook of techniques and applications. Academic, San
518 Diego
- 519 Garcia, E., S., Sandwell, D. T., Smith W.H.F. (2014) Retracking CryoSat-2, Envisat and Jason-1 radar altimetry waveforms for
520 improved gravity field recovery, *Geophysical Journal International*, Volume 196, Issue 3, March 2014, Pages 1402–
521 1422, <https://doi.org/10.1093/gji/ggt469>
- 522 Gommenginger, C. *et al.* (2011). Retracking Altimeter Waveforms Near the Coasts. In: Vignudelli, S., Kostianoy, A., Cipollini, P.,
523 Benveniste, J. (eds) Coastal Altimetry. Springer, Berlin, Heidelberg. https://doi.org/10.1007/978-3-642-12796-0_4
- 524 Helm, V., A. Humbert, H. Miller, (2014) Elevation and elevation change of Greenland and Antarctica derived from Cryosat-2,
525 *The Cryosphere*, 8 (2014), pp. 1539-1559
- 526 Knudsen P, Brovelli M (1993) Collinear and cross-over adjustment of geosat erm and seasat altimeter data in the
527 mediterranean sea. *Surv Geophys* 14(4–5):449–459
- 528 Lyard, F. H., Allain, D. J., Cancet, M., Carrère, L., and Picot, N.: FES2014 global ocean tide atlas: design and performance, *Ocean*
529 *Sci.*, 17, 615–649, <https://doi.org/10.5194/os-17-615-2021>, 2021
- 530 Maus, S., Green, C.M. and Fairhead, J.D., (1998) Improved ocean-geoid resolution from retracked ERS-1 satellite altimeter
531 waveforms. *Geophysical Journal International*, 13 134(1), pp.243-253.

- 532 Pavlis NK, Holmes SA, Kenyon SC, Factor JK (2012) The development and evaluation of the earth gravitational model 2008
533 (EGM2008). *J Geophys Res* V117:B04406. <https://doi.org/10.1029/2011J B008916>
- 534 Pujol, M.-I., Schaeffer, P., Faugere, Y., Raynal, M., Dibarboure, G., and Picor, N. (2018) Gauging the improvement of recent mean
535 sea surface models: A new approach for identifying and quantifying their errors. *J. Geophys Res Oceans*, 123, 5889-5911,
536 <https://doi.org/10.1029/2017JC0013503>
- 537 Raney, R. K., 2011. CryoSat-2 SAR mode looks revisited. *IEEE Geosci Remote Sensing Lett.*, 9(3), pp.393-397.
- 538 Ray, C., C. Martin-Puig, M. P. Clarizia, G. Runi, S. Dinardo, C. Gommenginger, and J. Benveniste, SAR altimeter backscattered
539 waveform model, *IEEE Transactions on Geoscience and Remote Sensing*, 53(2), 911-919, 2015.
- 540 Rio, M.-H., O. B. Andersen (2009) **GUT WP8100 Standards and recommended models, Report XXX, ESA**
- 541 Rose, S.K.; Andersen, O.B.; Passaro, M.; Ludwigsen, C.A.; Schwatke, C. Arctic Ocean Sea Level Record from the Complete Radar
542 Altimetry Era: 1991-2018. *Remote Sens.* **2019**, *11*, 1672. <https://doi.org/10.3390/rs11141672>
- 543 Schaeffer, P., Faugere, Y., Legeais, J. F., Ollivier, A., Guinle, T., & Picot, N. (2012). The CNES CLS11 global mean sea surface
544 computed from 16 years of satellite altimeter data. *Marine Geodesy*, 35, 3-19.
- 545 Scharroo, R., E. W. Leuliette, J. L. Lillibridge, D. Byrne, M. C. Naeije, and G. T. Mitchum (2013) , RADS: Consistent multi-mission
546 products, in *Proc. of the Symposium on 20 Years of Progress in Radar Altimetry, Venice, 20-28 September 2012*, Eur. Space Agency
547 Spec. Publ., ESA SP-710, p. 4 pp.
- 548 Sandwell, D.T. and Smith, W.H.F., 2005. Retracking ERS-1 altimeter waveforms for optimal gravity field recovery. *Geophysical*
549 *Journal International*, 163(1), pp.79-89.
- 550 Sandwell D.T., Smith WHF (2009) Global marine gravity from retracked Geosat and ERS-1 altimetry: ridge segmentation versus
551 spreading rate. *J Geophys Res* 114(B1): B01411
- 552 Sandwell D.T., Garcia ES, Soofi K, Wessel P, Chandler M, Smith W.H.F. (2013) Towards 1-mGal accuracy in global marine gravity
553 from Cryosat-2, Envisat and Jason-1. *Lead Edge* 32:892-898
- 554 Sandwell D.T., Müller R.D., Smith W.H.F., et al (2014) New global marine gravity model from CryoSat-2 and Jason-1 reveals
555 buried tectonic structure. *Science* 346(6205):65-67
- 556 Sandwell D.T., Harper H, Tozer B, Smith W.H.F. (2019) Gravity field recovery from geodetic altimeter missions. *Adv Space Res.*
557 [HTTPS:// doi.org/10.1016/j.asr.2019.09.011](https://doi.org/10.1016/j.asr.2019.09.011)
- 558 Stammer D, Cazenave A (ed) (2017) *Satellite altimetry over oceans and land surfaces*. CRC Press, Boca Raton.
559 <https://doi.org/10.1201/9781315151779>
- 560 Zhang S., Sandwell D.T. (2017) Retracking of SARAL/AltiKa radar altimetry waveforms for optimal gravity field recovery. *Mar*
561 *Geodesy* 40(1):40-56
- 562 Zhang S, Sandwell D.T., Jin T, Li D (2017) Inversion of marine gravity anomalies over southeastern China seas from multi-
563 satellite altimeter vertical deflections. *J Appl Geophys* 137:128-137
- 564 Zhang S, Li J, Jin T, Che D (2018) Assessment of radar altimetry correction slopes for marine gravity recovery: a case study of
565 Jason-1 GM data. *J Appl Geophys* 151:90-102
- 566 Zhang S, Andersen O.B., Kong X, Li H (2020) Inversion and validation of improved marine gravity field recovery in South China
567 Sea by incorporating HY-2A altimeter waveform data. *Remote Sens* 12:802
- 568 Zlotnicki, V., (1991) Sea Level differences across the Gulf Stream and Kuroshio extension. *J. Physical Oceanog.*, 21(4), 599-609.

DTU21MSS

569 Rebecca A Woodgate, R. A. and Peralta-Ferriz, C. (2021) Warming and Freshening of the Pacific Inflow to the Arctic from 1990-
570 2019 implying dramatic shoaling in Pacific Winter Water ventilation of the Arctic water column *Geophysical Research Letters*,
571 April 2021. DOI: [10.1029/2021GL092528](https://doi.org/10.1029/2021GL092528)

572 WWW1, (2022) <https://earth.esa.int/eogateway/news/cryosat-geographical-mode-mask-4-0-released>

573